

Subject: From the Office of Research Compliance & Integrity - Information on Export Controls and International Research Activities

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Dear Colleagues,

Maintaining national security while retaining the ability to engage in open scientific communication has become an important topic for U.S. universities. UC Merced, along with other campuses of the University of California, have taken steps to educate our faculty and staff to heighten awareness of the export control regulations and to ensure compliance with them.

Export controls regulate technologies and technical data/information that are taken to other countries by U.S. travelers or imparted to foreign nationals working in the U.S. These controls are intended to protect U.S. economic interests and foreign policy goals, as well as to prevent the acquisition of technologies and technical data/information by parties hostile to the U.S.

There are currently three federal agencies that collectively administer export control regulations:

- U.S. Department of State, Directorate of Defense Trade Controls (DDTC), which is responsible for International Traffic in Arms Regulations (ITAR);
- U.S. Department of Commerce, Bureau of Industry and Security (BIS), which is responsible for Export Administration Regulations (EAR); and
- U.S. Department of Treasury, Office of Foreign Assets Control (OFAC), which is responsible for managing economic sanctions and embargoes.

Compliance with the U.S. Export Control Regulations is of utmost importance to the University, while still maintaining a free and open academic research environment.

Some basic export control compliance advice we offer to all University personnel:

- **Prior** to shipping research equipment or materials (including hand carrying laptops or other devices) out of the country, work with your export controls [officer](#) to determine whether an export license is required.
- Publish research results in a timely manner through one of the means that qualifies as "publicly available" or "in the public domain." Consult with your technology transfer or patent office if the data concerns a patentable invention.

- **Do not** accept restrictions on access to or dissemination of information unless approved by the Office of Research.
- **Do not** provide citizenship, nationality, or visa status information to project sponsors or other third parties, or agree to background checks for project participants.
- **Do not** attend meetings from which foreign nationals are barred.

All UC Merced faculty, staff and students are responsible for knowing how export controls apply to their work and for complying with export control regulations. Violations may result in personal and institutional liability including fines and imprisonment.

*Tools and more information regarding export control regulations can be found at:
<http://rci.ucmerced.edu/export-controls>.

*Please also visit [UCOPs Export Compliance FAQs](#) site for more information on Export Controls and research.

*Information on travel and research in foreign countries can be found at:
<http://research.ucmerced.edu/node/218>

The Office of Research and Economic Develop is here to help researchers with regard to international research activities and are always available to answer any questions and concerns regarding export controls and international research. Please contact Danielle Dai'Re, Research Compliance Analyst – ddaire@ucmerced.edu.

Please feel free to share this email with any interested or relevant parties.
Best regards,

Danielle Dai'Re

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