

Subject: Important information from the Office of Research and Economic Development on Export Controls
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Dear Colleagues,

The University of California's strategy for compliance with the federal export controls is based upon maintaining an open, fundamental research environment. UC Merced, along with other campuses of the University of California, have taken steps to educate our faculty and staff to heighten awareness of the export control regulations and to ensure compliance with them.

Export controls regulate technologies and technical data/information that are taken to other countries by U.S. travelers or imparted to foreign nationals working in the U.S. These controls are intended to protect U.S. economic interests and foreign policy goals, as well as to prevent the acquisition of technologies and technical data/information by parties hostile to the U.S.

There are currently three federal agencies that collectively administer export control regulations:

- U.S. Department of State, Directorate of Defense Trade Controls (DDTC), which is responsible for International Traffic in Arms Regulations (ITAR);
- U.S. Department of Commerce, Bureau of Industry and Security (BIS), which is responsible for Export Administration Regulations (EAR); and
- U.S. Department of Treasury, Office of Foreign Assets Control (OFAC), which is responsible for managing economic sanctions and embargos.

Some basic export control compliance advice we offer to all University personnel:

- **Prior** to shipping or traveling with research equipment or materials (including hand carrying laptops or other devices) out of the country, work with your export control analyst or the Office of the Vice Chancellor for Research & Economic Development to determine whether an export license is required.
- **Publish research results** in a timely manner through one of the means that qualifies as "publicly available" or "in the public domain." Consult with your technology transfer or patent office if the data concerns a patentable invention.

- **Do not** accept restrictions on access to or dissemination of information unless approved by the Office of Research.
- **Do not** provide citizenship, nationality, or visa status information to project sponsors or other third parties, or agree to background checks for project participants.
- **Do not** attend meetings from which foreign nationals are barred.

All persons affiliated with UC who work with, or have access to, export-controlled technical data, information, materials, and equipment are required to understand the requirements of the U.S. export controls laws and regulations and follow applicable UC policies and procedures. Penalties for export control violations are substantial, including significant fines, debarment from participation in federal contracting, loss of export privileges, and, in some cases, imprisonment.

Additionally, all UCM employees planning on travel to Iran for the purpose of University business should contact the Research Compliance & Integrity Office prior to travel. Please see our website (<http://rci.ucmerced.edu/exp-ctrl/travel-iran>) for more information on traveling to Iran for research.

In the coming weeks, UC Office of the President will publish a comprehensive International Research website known as UC Go. When finalized, the University will widely publish the link. Please look for the UC Go information in future communications.

Tools and more information regarding export control regulations can be found at:

<http://rci.ucmerced.edu/export-controls>.

Please also visit [UCOPs Export Compliance FAQs](#) site for more information on Export Controls and research.

Information on travel and research in foreign countries can be found at:

<http://research.ucmerced.edu/node/218>

Questions and concerns regarding export controls and international research should be directed to Danielle Dai'Re, Research Compliance Analyst – ddaire@ucmerced.edu/209-228-4805.

Please feel free to share this email with any interested or relevant parties.

Danielle Dai'Re

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