

When is disclosure required?

Non-Federal	Federal	Research Involving Human Subjects
<ul style="list-style-type: none"> • Gifts • Contracts and Grants: <ul style="list-style-type: none"> • Non-Profit Foundations or For-Profit Companies: <ul style="list-style-type: none"> • Research Agreements • Material Transfer Agreements • Clinical Trial Agreements • Service Agreements • UC Discovery Grant Program: <ul style="list-style-type: none"> • MICRO - Microelectronics • bio - Biotechnology • com - Communications and Networking • dig - Digital Media • ele - Electronics Manufacturing and New Materials • itls - Information Technology for Life Sciences 	<ul style="list-style-type: none"> • National Institutes of Health (NIH) • Other DHHS Agencies • National Science Foundation (NSF) • American Cancer Society (ACS) • American Heart Association (AHA) • California Institute for Regenerative Medicine (CIRM) • UCOP Special Research Programs: <ul style="list-style-type: none"> • Breast Cancer Research Program (BCRP) • California HIV/AIDS Research Program (CHRP) • Tobacco-Related Disease Research Program (TRDRP) 	<ul style="list-style-type: none"> • Any study involving Human Subjects

Who must disclose?

Non-Federal	Federal	Research Involving Human Subjects
<ul style="list-style-type: none"> • Principal Investigator (PI), as well as the 	<ul style="list-style-type: none"> • Principal Investigator (PI) • All other 	<p>Any Individual on the list of research personnel.</p>

financial interests of their spouse or registered domestic partner, and/or their dependent children.

Individuals, as designated by the PI who have the responsibility for the design, conduct, or reporting of research results.

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What must be disclosed?

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<p>A financial interest "in" the sponsor:</p> <ul style="list-style-type: none"> • Positions: <ul style="list-style-type: none"> • Founder, Partner, Director, Manager, Officer, Employee, etc. • Investments: <ul style="list-style-type: none"> • Stocks, Bonds, Stock Options, etc. \geq \$2,000 • Income: <ul style="list-style-type: none"> • Salaries, Consulting Income, Honoraria, etc. \geq \$500 • Gifts: <ul style="list-style-type: none"> • Gift, Promise of a Gift, or Multiple Gifts \geq \$50 • Loans: <ul style="list-style-type: none"> • \geq \$500 • Travel Reimbursements: <ul style="list-style-type: none"> • Per Diem, Transportation outside California, Travel Advances, Lodging and Meals <p>Please Note: Financial interests must be reported for the PI and their spouse or registered domestic</p>	<p>A related significant financial interest in an entity "other" than the Federal sponsor:</p> <ul style="list-style-type: none"> • Positions: <ul style="list-style-type: none"> • Founder, Partner, Director, Manager, Officer, Employee • Investments: <ul style="list-style-type: none"> • Stocks, Bonds, Stock Options, etc. \geq \$10,000 Market Value or 5% ownership interest • Income: <ul style="list-style-type: none"> • Salaries, Consulting Income, Honoraria, etc. \geq \$10,000 • Intellectual Property: <ul style="list-style-type: none"> • Invention, Discovery, Work of Authorship in a Patent, Patent Application, or a Copyright assigned or licensed to a party other than The Regents of the University of California <p>Please Note: Financial interests must be reported for the disclosing</p>	<p>A financial interest related to the research:</p> <ul style="list-style-type: none"> • Positions: <ul style="list-style-type: none"> • Founder, Partner, Director, Manager, Officer, Employee • Investments: <ul style="list-style-type: none"> • Stocks, Bonds, Stock Options, etc. \geq \$2,000 • Income: <ul style="list-style-type: none"> • Salaries, Consulting Income, Honoraria, etc. \geq \$500 • Gifts: <ul style="list-style-type: none"> • Gift, Promise of a Gift, or Multiple Gifts \geq \$50 • Loans: <ul style="list-style-type: none"> • \geq \$500 <p>Please Note: Financial interests must be reported for the PI and their spouse or registered domestic partner, and dependent children.</p>

partner, and dependent children.

individual(s) and their spouse and dependent children.

When do I need to disclose?

Non-Federal	Federal	Research Involving Human Subjects
<ul style="list-style-type: none"> • With the initial proposal • With additional funding • With any change with the PI's financial interest(s) <p>Please Note: All positive disclosures must be reviewed and approved prior to acceptance of the award or additional funding.</p>	<ul style="list-style-type: none"> • With the initial proposal • With Continuation proposals • With Renewal proposals • With Supplemental proposals • When new personnel are added • With any change with the PI's financial interest(s) <p>Please Note: All positive disclosures must be reviewed and approved prior to acceptance of the award or additional funding.</p>	<ul style="list-style-type: none"> • With the initial proposal • With any continuing IRB application <p>Please Note: All positive disclosures must be reviewed and approved prior to IRB approval of the protocol and informed consent.</p>

What form(s) will I need to fill out?

Non-Federal	Federal	Research Involving Human Subjects
<ul style="list-style-type: none"> • 700-U • 700-U Supplement(positive disclosures) 	<ul style="list-style-type: none"> • Federal Disclosure Form • Addendum (positive disclosures) 	<ul style="list-style-type: none"> • 700-U • 700-U Supplement(positive disclosures)